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06-06-01

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5043P010
First Inventor or Application Identifier Wei William Wu
Title METHOD AND APPARATUS TO FACILITATE INDEPENDENT PROTECTION
Express Mail Label No. EM014065341US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification Total Pages
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets
5. Oath or Declaration Total Pages
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CFR)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Request and Certification under 35 USC 122(b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____/_____

Prior application Information: Examiner _____ Group/Art Unit: _____

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label



or ☐ Correspondence address below

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Thomas M. Coester, Reg. No. 39,637

Signature

Thomas Coester

Date

06/04/01

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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **710.00****Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Wei William Wu, et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	5043P010

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

- ☒
- Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Money Order ☐ Other**FEE CALCULATION** (continued)**3. ADDITIONAL FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130 205	65 Surcharge - late filing fee or oath	
127	50 227	25 Surcharge - late provisional filing fee or cover sheet.	
139	130 139	130 Non-English specification	
147	2,520 147	2,520 For filing a request for <i>ex parte</i> reexamination	
112	920 [*] 112	920 [*] Requesting publication of SIR prior to Examiner action	
113	1,840 [*] 113	1,840 [*] Requesting publication of SIR after Examiner action	
115	110 215	55 Extension for response within first month	
116	390 216	195 Extension for response within second month	
117	890 217	445 Extension for response within third month	
118	1,390 218	695 Extension for response within fourth month	
128	1,890 228	945 Extension for response within fifth month	
119	310 219	155 Notice of Appeal	
120	310 220	155 Filing a brief in support of an appeal	
121	270 221	135 Request for oral hearing	
138	1,510 138	1,510 Petition to institute a public use proceeding	
140	110 240	55 Petition to revive - unavoidably	
141	1,240 241	620 Petition to revive - unintentionally	
142	1,240 242	620 Utility issue fee (or reissue)	
143	440 243	220 Design issue fee	
144	600 244	300 Plant issue fee	
122	130 122	130 Petitions to the Commissioner	
123	50 123	50 Petitions related to provisional applications	
126	180 126	180 Submission of Information Disclosure Stmt	
581	40 581	40 Recording each patent assignment per property (times number of properties)	
146	710 246	355 Filing a submission after final rejection (37 CFR 1.129(a))	
149	710 249	355 For each additional invention to be examined (37 CFR 1.129(b))	
179	710 279	355 Request for Continued Examination (RCE)	
169	900 169	900 Request for expedited examination of a design application	

Other fee (specify) _____

FEE CALCULATION**1. FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	710 201	355 Utility filing fee	\$710
106	320 206	160 Design filing fee	
107	490 207	245 Plant filing fee	
108	710 208	355 Reissue filing fee	
114	150 214	75 Provisional filing fee	

SUBTOTAL (1) (\$) **710.00****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid	
9	-20** = 0	X \$18.00 =	0.00	
Independent Claims	2	-3** = 0	X \$80.00 =	0.00
Multiple Dependent				

Large Entity Small Entity

Fee Code	Fee Code	Fee Description
103	18 203	9 Claims in excess of 20
102	80 202	40 Independent claims in excess of 3
104	270 204	135 Multiple Dependent claim
109	80 209	40 **Reissue independent claims over original patent
110	18 210	9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **0.00**

**or number of previously paid, if greater; For Reissues, see above

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) _____**SUBMITTED BY**

Typed or Printed Name	Thomas M. Coester, Reg. No. 39,637	Reg. Number	
Signature	<i>Thomas Coester</i>	Date	06/04/01
		Deposit Account User ID	02-2666

Complete (if applicable)


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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122 (B)(2)(B)(i)	First Named Inventor		Wei William Wu
	Title	METHOD AND APPARATUS TO FACILITATE INDEPENDENT	
	Attorney Docket No.		043P010

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

06/04/01

Date



Signature

Thomas M. Coester, Reg. No. 39,637

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**